S/N NEW FILING PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

RAINEY ET AL.

Examiner:

UNKNOWN

Serial No.:

**NEW FILING** 

Group Art Unit:

UNKNOWN

Filed:

HEREWITH

Docket No.:

3616.237USC3

Title:

SEGMENTAL RETAINING WALL SYSTEM

**CERTIFICATE UNDER 37 CFR 1.10**:

"Express Mail" mailing label number: EV322884258US

Date of Deposit: March 12, 2004

I hereby certify that this paper or fee is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Commissioner for Patents, Mail Stop Patent Application, P.O. Box 1450, Alexandria, VA 22313-1450.

Name: Teresa Anderson

## **INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(b))**

Mail Stop Patent Application
Commissioner for Patents

P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted within three months of the filing date of the above-identified application, which is not an application under 37 C.F.R. § 1.53(d). Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

As this application was filed after June 30, 2003 (37 C.F.R. §1.98(a)(2)(i)), and in accordance with 37 C.F.R. §1.98(a)(2) and §1.98(d), copies have been provided only for those foreign patent documents or other documents listed on the enclosed Form 1449 that have not previously been cited by or submitted to the U.S. Patent and Trademark Office in parent application, U.S. Serial No. 10/036,037 filed on December 28, 2001, which is a continuation-in-part of application Serial No. 09/049,627, filed March 27, 1998, now U.S. Patent No. 6,338,597, issued on January 15, 2002.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

Respectfully submitted,

MERCHANT & GOULD P.C. P. O. Box 2903 Minneapolis, Minnesota 55402-0903 612.332.5300

Date March 12 2004

James A. Larson Reg. No. 40,443

JAL:PLStdm

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PATENT TRADEMARK OFFICE

Date Mailed: March 12, 2004 Sheet 1 of 2

FORM 1449*	Docket Number:	Application Number:		
INFORMATION DISCLOSURE STATEMENT	3616.237USC3	NEW FILING		

IN AN APPLICATION Applicant: RAINEY ET AL.

(Use several sheets if necessary) Filing Date: HEREWITH Group Art Unit: UNKNOWN

	Τ	1	I.S. PATENT DOCUME	ENTS	<del> </del>	
EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	748,603	01/1904	Henry			
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	5,044,834	09/1991	Janopaul, Jr.			
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EXAMINER	DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.

Date Mailed: March	<u>2</u> , 2004	Sheet 2 of
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FORM 1449* INFORMATION DISCLOSURE STATEMENT	Docket Number: Application Number: 3616.237USC3 NEW FILING
IN AN APPLICATION	Applicant: RAINEY ET AL.
(Use several sheets if necessary)	Filing Date: HEREWITH Group Art Unit: UNKNOWN

EXAMINER INITIAL	DOCUMENT NO.	DATE	J.S. PATENT DOCUMEN NAME	CLASS	SUBCLASS	FILING IF APPRO	
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	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	WO 97/44533	11/1997 .	PCT				
			·				
	OTHER	DOCUMENT	S (Including Author, Title,	Date, Pertinent I	Pages, Etc.)		

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PATENT TRADEMARK OFFICE

EXAMINER DATE CONSIDERED

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